



Application Date: 

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Distributor Name: \_\_\_\_\_

To  
Abakkus Asset Manager Private Limited (Formerly known as Abakkus Asset Manager LLP)  
Abakkus Corporate Center, Param House,  
6<sup>th</sup> Floor, Shanti Nagar, Near Grand Hyatt,  
Off Santacruz Chembur Link Road, Santacruz East, Mumbai – 400 055.

Subject: Addendum to PMS Agreement for investment in additional variants/concepts

Reference Client Code:

Dear Sir/Madam,

I/We having a Portfolio Management Account with Abakkus Asset Manager Private Limited (Formerly known as Abakkus Asset Manager LLP) dated \_\_\_\_\_ in name & style as \_\_\_\_\_ Client code \_\_\_\_\_ would like to invest in the (new) Strategy investment. I/We hereby request you to utilize/link our existing Demat account having DP ID \_\_\_\_\_ & Client ID \_\_\_\_\_ for this new strategy as well.

I/We hereby agree to all the terms and conditions of the aforesaid Portfolio Management Services Agreement including schedules and confirm that all the data mentioned in the aforesaid application cum KYC form and clauses in the agreement are valid and the KYC documents and supporting documents provided earlier remain the same and valid for new investment account. If there are any changes to my/our KYC documents, I/we undertake to inform you at the earliest and produce all necessary documents. The taxation, legal or other implications, if any, arising on account of you acting on our above instructions will be solely borne by me/us & I/us shall indemnify you fully on account of the same.

I/We hereby confirm that I/we have received and read the Disclosure Documents for Portfolio Management Services two days prior signing of this document.

Thanking you,

Applicants name: \_\_\_\_\_

**SIGNATURES**

\_\_\_\_\_  
1<sup>st</sup> Applicant

\_\_\_\_\_  
2<sup>nd</sup> Applicant


\_\_\_\_\_  
3<sup>rd</sup> Applicant

**ANNEXURE A – FEES SCHEDULE**

Particulars	Frequency of deduction of Fees	Approach _____	STP - Liquid approach
		Lumpsum <input type="checkbox"/>	STP Options Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, 2 STP <input type="checkbox"/> 4 STP <input type="checkbox"/>
<input type="checkbox"/> <b>Option I</b>	Quarterly in arrears	<b>Management Fees:</b> 2.50% p.a. of AUM	<b>Management Fees:</b> 0.25% p.a. of AUM
<input type="checkbox"/> <b>Option II</b>	Quarterly in arrears Annually at every investment anniversary	<b>Management Fees:</b> 1.75% p.a. of AUM <b>Performance Fees:</b> 15% over 9% (pre-tax) hurdle rate (Subject to high water mark principle)	
<b>Termination Fees</b>	On termination / partial withdrawal	1.5% of the amount withdrawn on termination/partial withdrawal within 1 year from the date of Investment and NIL thereafter	
<b>Operating expenses other than Brokerage</b>		<b>At actuals</b> (Subject to a cap of 0.50% p.a. of the AUM or as may be provided under the SEBI Regulations).	
<b>Brokerage expenses</b>		<b>At actuals</b>	

- The Termination Fee shall be calculated as a percentage of the Fair Market Value / marked to market basis (as applicable) of the Portfolio / withdrawn Portfolio. Inter approach transfer from Liquid Approach will not attract any exit load.
- Charges in a financial year for transactions through self or associates (e.g., Broking, Demat services, custody etc.) if any, shall be upto 20% by value per associate (including self) per service. In any case the fees paid to Associate shall not be more than the fees paid to the non-associate providing similar services.
- Management fees and Operating expenses shall be calculated per folio on the basis of the average value of the daily Assets Under Management for the previous quarter.
- All applicable taxes (including goods and service tax) and levies, if any (together with surcharge and additional surcharge, as may be applicable) leviable on any fee provided above, shall be charged to the Client Portfolio.
- I/we understand that we may have a liability of paying TDS on Fees charged to me/us for the services provided by the Portfolio Manager and I/we will deposit the same at the prevailing rates and shall provide TDS certificate to the Portfolio Manager within requisite time period.
- For STP Client, generally, the transfer from liquid ETF would be processed on T+3 working days from processing date and on sighting of clear cash balance, the money would be deployed between T+5 working days (at the discretion of Portfolio Manager). If any of the said days is a holiday the deployment would be subject to the next available business day. However, Portfolio Manager shall not be liable for any notional loss incurred by STP client due to delay in process from liquid ETF beyond the timeline mentioned under this clause.
- I/We confirm that I/We have read and understood all the terms and conditions associated with the transfer, if any and I/We accept and agree to be bound by the same.
- In all other aspects the terms of client agreement executed with Abakkus Asset Manager Private Limited (Formerly known as Abakkus Asset Manager LLP) shall remain unaffected and be in full force and effect.

*I/ We have read and understood the above fees and charges structure. I/We am/are in agreement with the same". (Confirmation of fees in client's own handwriting)*

<b>Sign</b> <small>First/Sole Holder</small>	<b>Sign</b> <small>Second Holder</small>	<b>Sign</b> <small>Third Holder</small>	<p style="text-align: center;"><b>For Abakkus Asset Manager Private Limited</b></p>  <p style="text-align: center;"><b>Authorised Signatory</b> Abakkus Asset Manager Pvt. Ltd.</p>
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**MOST IMPORTANT TERMS AND CONDITIONS (MITC)**

<b>Name of the Portfolio Manager &amp; SEBI Registration Number</b>	Abakkus Asset Manager Private Limited (Formerly known as Abakkus Asset Manager LLP) INP000006457
<b>Contact details of Portfolio Manager</b>	+91 22 6884 6601/02/85
<b>Name of the Client (Details of all the holders)</b>	First Holder: Second Holder: Third Holder:
Distributor Name	
Service Opted by the Client	<<Discretionary/Non-Discretionary/Advisory/ Co-Investment Services>>
Amount Invested	<<Amt in Rs.>> <<Amt in words>>
Date of PMS Agreement	<<date of signing PMS agreement>>
Risk Tolerance of the Client	<<Low/Medium/High>>
Strategy	<<Lumpsum – Equity>> <<STP – Equity & Debt>>
Investment Approach	<<Name of Investment Approach>>
Benchmark for the Investment Approach	<<BSE 500 TRI/Composite Bond Fund Index>>
Investment tenure/horizon	<<Upto 5 years / Above than 5 years>>
Related party investments	<<Consent / Dissent>>
Fees & Charges including exit load, if any levied by the Portfolio Manager	Management Fees: _____% p.a. of AUM Performance Fees: _____% over _____% (pre-tax) hurdle rate (subject to high water mark principle) Termination Fees: 1.5% of amount withdrawn on termination/partial withdrawal within 1 year from date of investment and NIL thereafter Operating Expenses: At Actuals (subject to a cap of 0.50% p.a. of AUM) Brokerage Expenses: At Actuals
Details of bank and demat accounts where client's funds and securities are kept	Bank Details and Demat Account details will be shared through the Welcome E-mailer. <<RI – mention Custody Pool bank account details>> <<NRI – mention PIS NRI/NRE Bank account details>>
Tenure of the PMS agreement	5 (five) years from Date of PMS Agreement which shall be automatically renewed for every successive block period of 5 (five) years, unless terminated by either party
Disclosure of interest in various corporate bodies by Client	<<As per Restricted list of securities>>
Nominee along with share of each nominee in PMS, Demat and Bank accounts	Nominee 1:  Nominee 2:  Nominee 3:
Name of Guardian, in case the Nominee is a minor	
Name and contact details of Investor Relations Officer	Mr. Vaibhav Khandait, +91 22 68846641
Name and contact details of Distributor/Advisor	<<Name and Mobile No. of Distributor/Advisor>>
Other important T&Cs	

The MITC and all information provided here above are applicable to you. The MITC is in addition to and are to be read in conjunction with the PMS-Client Agreement executed between you and the Portfolio Manager. Any subsequent change made by client/investor prior to account activation/takeover shall be intimated via email on registered email id of client/investor

<b>Sign</b> <small>First/Sole Holder</small>	<b>Sign</b> <small>Second Holder</small>	<b>Sign</b> <small>Third Holder</small>							
Date	D	D	M	M	Y	Y	Y	Y	Place